# Independent risk factors for COVID-19-associated coagulopathy

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Abstract. **– OBJECTIVE: Past three years since the beginning of the outbreak, we have obtained satisfactory data on COVID-19. However, data on risk factors of COVID-19-associated coagulopathy (CAC) are extremely limited. Prediction of CAC might be a game changer since it is related to poor prognosis. Seeking independent risk factors for CAC was the main aim of the study.**

**PATIENTS AND METHODS: 510 hospitalized COVID-19 patients were retrospectively screened. Forty-eight of them were excluded due to irrelevant D-dimer or ferritin elevation. The remaining patients were stratified into three groups as overt coagulopathy, significant pulmonary microthrombosis, and patients without coagulopathy. The overt coagulopathy group included cases with macrothrombosis or disseminated intravascular coagulation (DIC). The significant pulmonary microthrombosis group covered the cases that had clinical deterioration with simultaneous marked D-dimer elevation.**  The group of patients without coagulopathy in**cluded the asymptomatic patients with normal or elevated D-dimer levels.**

**RESULTS: Overt coagulopathy developed in 3.2% and significant pulmonary microthrombosis in 10.1% of the patients. In the multivariate analysis, not receiving low molecular weight heparin (LMWH) (p=0.002) and a level of D-dimer >15,000 U/ml (p=0.013) were associated with overt coagulopathy. In addition, levels of initial LDH >480 IU/L (p=0.022), initial ferritin >1,000 ng/ml (p=0.036) were associated with significant pulmonary microthrombosis. Not receiving LMWH (p=0.001) was also associated with significant pulmonary microthrombosis, when multivariate analysis was performed by the parameters with a p-value <0.1 in the univariate analysis. Furthermore, all cases with DIC had Gram-negative bacterial sepsis.**

**CONCLUSIONS: Not receiving LMWH, high levels of D-dimer, initial LDH, and initial ferritin are independent risk factors for CAC. DIC does not appear to develop based on COVID-19.**

*Key Words:*

COVID-19, Inflammation, Lactate dehydrogenase, Low molecular weight heparin, Thrombosis.

## Introduction

Data provided by initial studies<sup>1,2</sup> even before the announcement of the pandemic pointed to a strange COVID-19-associated coagulopathy  $(CAC)$ . In retrospective documentation<sup>2</sup> of the first experience in Wuhan, it was found that abnormal coagulation parameters were present in 71.4% of non-survivors and 0.6% of recovered patients. These parameters included increased D-dimer and fibrin degradation products, mild prolongation of prothrombin and activated partial thromboplastin time, and sometimes moderate thrombocytopenia2 . Emerging data revealed that CAC had unique features mostly present as pulmonary micro-macrothrombosis and less frequently as systemic venous and/or arterial macrothrombosis $3-5$ .

With the flowing time, studies defined some acquired, environmental, and epigenetic factors that increase the risk of severe COVID-19 for some populations (primarily older people, individuals with obesity, and individuals with diabetes). Additional experiences and research gave the start for the recognition of underlying mechanisms for CAC. As studies<sup>3,5,7-11</sup> on Alpha or Delta variants deepened, the pathogenesis of SARS-CoV-2-dependent thromboinflammation was clarified. This process includes endothelial dysfunction, hyperinflammation, cytokine storm, formation of neutrophil-extracellular traps, complement system activation, imbalance of renin-angiotensin-aldosterone system, hypofibrinolysis, and hypoxia. As a result, antithrombotic therapies became a part of the global management program as a logical next step over the usual care.

We met trials that yield the effect of antithrombotic treatment on the COVID-19 outcome<sup>12,13</sup>. Here, we share our experience with antithrombotic treatment in COVID-19 that we shaped to ameliorate the course by considering thromboinflammatory mechanisms. We also report the results of the intensively collected data of our cohort.

# Patients and Methods

We retrospectively screened the medical records, patients' files, and the hospital's electronic data system of hospitalized COVID-19 patients. Laboratory confirmation was performed with reverse transcriptase-polymerase chain reaction (RT-PCR) assays. The ones who have a negative result of RT-PCR but have typical clinical, laboratory, and thoracic computed tomography (CT) findings for COVID-19 were also included in the analysis.

## *Definitions*

COVID-19 severity was categorized as moderate, severe, or critically severe. Moderate disease defines patients with lymphocytopenia, increased serum C-reactive protein (CRP), ferritin, lactate dehydrogenase (LDH), D-dimer levels, and bilateral infiltration on thorax CT, and additionally a pulse oxygen saturation greater than 93% in room air or with low-flow nasal oxygen support. The severe disease refers to patients with PaO2/FiO2 ratio below 300 who need reservoir bag oxygen masks or highflow nasal oxygen. Critically severe disease defines the cases that need intensive care unit (ICU) support.

Patients were also classified into three groups according to their COVID-19-associated coagulopathy (CAC) status; patients with overt coagulopathy, patients with significant pulmonary microthrombosis, and patients without coagulopathy, respectively. Overt coagulopathy arm included the radiologically demonstrated cases [except non-ST-elevated myocardial infarction (MI)] arterial and/or venous macrothrombosis or patients with disseminated intravascular coagulation (DIC). The condition of significant pulmonary microthrombosis was a marked increase in serum D-dimer level accompanied by clinical worsening. Criteria of clinical worsening consisted of a striking increase in oxygen demand, intubation need or death. Also, patients with a D-dimer level above 20,000 U/mL at any time were included in the significant pulmonary microthrombosis arm in the absence of macrothrombosis. In some cases, macrothrombosis was highly suspected but could not be proven radiologically, or radiologic studies could not be performed due to the patient's poor clinical status. Patients without coagulopathy had normal serum D-dimer levels or mild to moderate elevations without apparent clinical worsening.

Serum CRP, D-dimer, and ferritin levels were assessed on admission when they arrived at the peak level, and finally when the patients were discharged or died.

#### *Treatment Strategies*

The treatment protocol was based on the national health authority protocol, which included hydroxychloroquine and azithromycin in the early period of the pandemic and favipiravir, which was added shortly to them. Patients with cytokine storm received anti-cytokine drugs, either tocilizumab or anakinra. Antithrombotic treatment consisted of dipyridamole 75 mg peroral twice daily, accompanied shortly by enoxaparin subcutaneously at a dose of 40 mg/day in all hospitalized patients. Enoxaparin dose was increased to a therapeutic dose of 1 mg/kg twice daily in patients with D-dimer levels above 1,000 U/mL.

## *Statistical Analysis*

Continuous variables were presented as medians, and the number of categorical variables was presented. Differences between groups were analyzed with the  $\chi^2$  test. Risk factors were evaluated in univariate and multivariate logistic regression models. Variables found to be significant in the univariate analysis were included in the logistic regression analysis. A bilateral α lower than 0.05 was considered statistically significant. Receiver operating characteristic (ROC) analysis was used to determine the best cutoff value reflecting the relationship of the variable to the endpoint. The propensity score matching method was applied to find the most appropriate cohort for patients not taking low-molecular-weight heparin (LMWH) and, similarly, for not taking dipyridamole. LMWH and dipyridamole variables were included in the Cox regression model following propensity score matching. Statistical analysis was performed in STATA 13 software (Stata Corp LLC, College Station, TX, USA).

## **Results**

The data collection encompassed the threemonth periods of the first wave. A total of 510 COVID-19 patients were evaluated. Patients with comorbidities that may intervene in assessing the clinical and laboratory parameters (malignancy, pregnancy, hemorrhagic diathesis, etc.) for COVID-19-associated clinical courses were excluded from the analysis. The exclusion reasons were in order of frequency as follows; sixteen patients due to insufficient data, eleven patients due to active malignancy, six patients due to pregnancy, five patients due to uncertain COVID-19 diagnosis, three patients with multiple reasons for having elevated serum D-dimer level other than COVID-19, three patients having already thrombosis, two patients due to non-COVID-19 related high ferritin level and two patients due to being in the postoperative period (Figure 1).

The median age of the remaining 462 patients was 56 (23-98). The majority of the cohort was male (61.5%). Fatigue and myalgia were the most common symptoms, with a rate of 94% (n=432). Other common symptoms were cough (84%, n=389), fever (72%, n=224), and dyspnea (43%, n=198). Nausea (15%, n=71), diarrhea (12%, n=54), anosmia (8%, n=38), and sputum (3%, n = 14) were relatively uncommon symptoms. RT-PCR was positive in 61.7% of the patients (n=285). Demographic characteristics, initial signs and symptoms, and comorbidities of the patients are summarized in Table I.





Table I. Demographic characteristics, initial signs and symptomatology.



COPD: chronic obstructive pulmonary disease, ACE: angiotensin converting enzyme, ARB: angiotensin receptor blocker

Patients with overt coagulopathy represent 3.2% of the cohort  $(n=15)$  with a mean age of 64.8 (40-86 years) and male predominance. Most (n=7/15) of the patients had arterial thrombosis. Venous thrombosis and DIC evolved in four patients each. Time to thrombotic events ranged from 1 to 33 days after COVID-19 diagnosis. The type of arterial thromboses manifested as MI in five patients, in one patient as cerebrovascular accident, and in one patient as arterial thrombosis of the lower extremity. Venous thrombosis developed as lower extremity deep venous thrombosis (DVT) in one patient and the other as an upper extremity DVT. One patient experienced catheter-related thrombosis and pulmonary embolism. Another patient had severe thrombotic occlusion of extracorporeal membrane oxygenation cannulas, preventing membrane oxygenation. All patients with DIC had Gram-negative sepsis, proven by cultures. In all these cases, serum procalcitonin levels were significantly elevated

(0.54-4.83 ng/mL). Two of the DIC cases survived and were discharged.

#### *Univariate Analyses*

The parameters significantly associated with overt coagulopathy and significant pulmonary microthrombosis are summarized in Table II. Patients progressing to anti-cytokine treatment requirement developed pulmonary microthrombosis significantly  $(p<0.001)$ .

Table II. Parameters significantly associated with overt coagulopathy and/or significant pulmonary microthrombosis in univariate analyzes.



*Continued*





CAD: coronary artery disease, CHF: congestive heart failure, LDH: lactate dehydrogenase,CRP: C-reactive protein, NLR: neutrophil-lymphocyte ratio, AST: aspartate aminotransferase, ALT: alanin aminotransferase, SOFA: sequential organ failure assessment, SIC:sepsis-induced coagulopathy, LMWH: low-molecular-weight heparin.

## *Multivariate Analyses*

## *Patients with overt coagulopathy*

All parameters with a p-value  $\leq 0.05$  in the univariate analysis were included in the multivariate analysis. Not receiving LMWH (*p*=0.002), peak D-dimer level above 15,000 U/ml (*p*=0.013), and peak sepsis-induced coagulopathy (SIC) score of 4 or higher  $(p=0.022)$  were found to be statistically significant and independent risk factors for overt coagulopathy (Table III). Although they were not statistically significant, absolute monocyte count  $\leq 300/\mu$  on admission ( $p=0.062$ ) and direct bilirubin level above 1.3 mg/dl in the peak period (*p*=0.058) had a statistical tendency for overt coagulopathy development (Table III).



Table III. Independent risk factors or the parameters which has tendency to be an independent risk factor in multivariate analysis of the parameters with  $p < 0.05$  in univariate analysis for Overt Coagulopathy group.

LMWH: low-molecular-weight heparin, SIC: sepsis-induced coagulopathy, HR: hazard ratio.

# *Patients with significant pulmonary microthrombosis*

All parameters with a  $p$ -value <0.05 in the univariate analysis were included in the multivariate analysis. LDH levels over 480 IU/L (*p*=0.022) and ferritin levels over 1,000 ng/ml on admission  $(p=0.036)$  were found to be statistically significant and independent risk factors for significant pulmonary microthrombosis. Including the parameters with a  $p$ -value  $\leq 0.1$  in the univariate analysis, not receiving LMWH (*p*=0.001) was also found to be statistically significant and an independent risk factor for significant pulmonary microthrombosis (Table IV). In addition, having coronary artery disease (CAD) (*p*=0.093), three or more comorbidities (*p*=0.058), presence of dyspnea on admission ( $p=0.087$ ), and severe disease at the time of presentation  $(p=0.054)$  had a statistical tendency for significant pulmonary microthrombosis development (Table IV).

## **Discussion**

The SARS-CoV-2 outbreak induced physician collaboration as all specialists and even interns in some countries became responsible for COVID-19 care. It was obligatory when most of the health workers fell into COVID-19. On the other hand, COVID-19 showed multiple faces of dysfunction, such as inflammation, endothelial dysfunction, and coagulopathy. This created a need for multidisciplinary working, developing research projects, rapidly evolved trials, workshops, and guidelines.

We were especially interested in CAC, which involves inflammatory, immune, coagulation, fibrinolytic, complement, and kallikrein-kinin system where the story begins with SARS-CoV-2 infection of type II pneumocytes via angiotensin-converting enzyme 2 (ACE2)<sup>14-16</sup>. Although it has been shown that SARS-CoV-2 can also infect extra-pulmonary tissues, there are conflicting reports on whether SARS-CoV-2 infects endothelial cells directly17,18. Pneumocytes' position with the alveolar vascular network might explain the damage of microvascular endothelium beyond the alveoli. However, endothelial damage in COVID-19 is not limited to the pulmonary vascular bed, and systemic endothelial damage and distant thrombotic events may be related to severe systemic hyperinflammation<sup>18</sup>.

The severity and mortality of COVID-19 pointed to the so-called "cytokine storm<sup>19</sup>". Cytokine storm defines the vicious circle of progressive severe systemic inflammation. We already have been familiar with this term from the etiologies of macrophage activation syndrome. We all clini-



Table IV. Independent risk factors or the parameters which has tendency to be an independent risk factor in multivariate analysis of the parameters with  $p < 0.05$  in univariate analysis for significant pulmonary microthrombosis group.

\*These statistical results belong to the Multivariate analysis of the parameters with  $p < 0.1$  in univariate analysis. LDH: lactate dehydrogenase, LMWH: low-molecular-weight heparin, HR: hazard ratio.

cians pursued the best-reflecting biomarker of this picture, and finally, criteria for COVID-19-asso $ciated \text{ hyperinflammation were defined}^{20}$ . Indeed, CAC, endothelial dysfunction, and hyperinflammation were found to be closely related to the disease severity and death in COVID-197,21,22. On the other hand, Canakinumab Anti-Inflammatory Thrombosis Outcomes Study (CANTOS)<sup>23</sup> demonstrated that successful suppression of inflammation protects the endothelium and reduces thrombotic complications. Consistently with the relationship between endotheliopathy, inflammation, and thrombosis revealed in CANTOS, our data demonstrated that serum ferritin levels above 1,000 ng/ml on admission were an independent risk factor for CAC. In addition, our study revealed that prior CAD might contribute to the development of CAC. This result indicates that prior endothelial dysfunction build-up a risk factor for CAC.

We also observed that serum LDH over 480 IU/L was associated with significant pulmonary microthrombosis ( $p=0.022$ ) and was an independent risk factor for CAC development. Consistent with that, patients with dyspnea and severe COVID-19 on admission were at risk for CAC development in multivariate analysis (*p*=0.087 and *p*=0.054, respectively).

Another point that has to be emphasized is that DIC is not a form of CAC. In our study, all patients with DIC among COVID-19 had Gram-negative bacteremia with sepsis. Therefore, we strongly suggest that in the case of DIC during the COVID-19 course, an underlying precipitating factor should be sought.

With our observation and findings as clinical and laboratory data assessment, we can also suggest that CAC may represent a clinical spectrum. A negligible pulmonary microthrombus formation may progress to a diffuse pulmonary microthrombus causing clinical respiratory failure. Each COVID-19 patient's management should be individualized with carried risk factors. Therefore, the discovery of well-defined and reliable risk factors for hyperinflammation, endothelial dysfunction, and CAC is a need for individualized treatment.

Our study is worthy from several different points. Firstly, the concept of the study is unique. For the best and most accurate prognostic evaluation, we distinguished the patients with asymptomatic D-dimer elevation, representing negligible pulmonary microthrombosis that does not progress to CAC. Our findings will contribute to the literature and help clinicians make risk analyses for CAC since our analysis uncovered some independent risk factors. Secondly, strict criteria were applied to exclude the cases with other comorbidities causing increased D-dimer and ferritin levels and to get reliable results from the analysis.

#### *Limitations*

The main limitations of our study are the retrospective design and the fact that the treatment data provided do not belong to randomized groups. Initiation of anticoagulation after a certain date resulted in two patient groups that received LMWH and did not receive it. Although our study included RT-PCR negative patients, we consider this as real-life data, not a major limitation, since all patients were clinically, biochemically and radiologically examined, and patients were excluded if there was any suspicion of COVID-19 diagnosis. Besides, we did not detect any difference in statistical analysis between RT-PCR positive and negative patients.

## **Conclusions**

We highly recommend that patients with initial LDH >480 IU/L and initial ferritin >1,000 ng/ml receive high-dose anticoagulant therapy if they do not have a high risk of bleeding. In addition, we recommend close monitoring of patients with dyspnea and severe disease on admission, a history of CAD, three or more comorbidities, and monocytopenia (<300/mcl). These cases should be evaluated for preemptive high-dose anticoagulation therapy. In case of an increase in direct bilirubin and a SIC score of 4 and above, it would be appropriate to evaluate for septic shock and DIC and to reorganize anticoagulant therapy.

Conflicts of Interest The authors have no conflict of interest to declare.

#### Ethics Approval

This study was approved by the Istanbul Faculty of Medicine Clinical Research Ethics Committee (No.: 27/05/2020- 85499).

#### Funding

No funding was received.

#### Availability of Data and Materials

The data of the study is available upon request from the corresponding author.

#### Informed Consent

Informed consent was obtained from all patients to be included in the study.

#### Authors' Contributions

All authors made critical contributions to the multidisciplinary follow-up and management of patients in the cohort and the drafting of the study. YBT and SKB designed the details of the study. YBT, EA, NS, and AM provided the data. YBT and EA investigated the data and ensured accurate and strict exclusions according to the study criteria. The analysis was carried out by MO. YBT, SKB, and MO interpreted the analysis and wrote the paper. AAC, AG, ME, MK, and TT contributed to the critical evaluation and revision of the manuscript. YBT and SKB shaped the final version, and all authors approved the final version of the article.

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