

# Lewy pathological study on $\alpha$ -synuclein in gastrointestinal tissues of prodromal Parkinson's disease

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**Abstract.** – **OBJECTIVE:** In the gastrointestinal neural system, the emergence of Lewy Body (LB) is usually earlier than the clinical diagnosis of Parkinson (PD) motor symptoms. Therefore, this study is aimed to explore whether the LB in the gastrointestinal tract of prodromal PD patients.

**PATIENTS AND METHODS:** 98 paraffin embedded tissue specimens from 57 PD patients were collected in the Second Affiliated Hospital of Soochow University archives, as well as 98 tissue specimens of 90 non-PD patients undergone surgical resection. The pathological sections were stained by the immunohistochemistry method. The positive staining of aggregated  $\alpha$ -Synuclein (a- $\alpha$ -syn) and phosphorylated  $\alpha$ -Synuclein (p- $\alpha$ -syn) in gastrointestinal tract were counted to analyze the distribution of the expression of a/p- $\alpha$ -syn in the prodromal PD patients before diagnosis (1-10 years, 10-20 years, 11-20 years).

**RESULTS:** According to results of immunohistochemical staining of a- $\alpha$ -syn, 35 (52.23%) and 30 (38%) tissue blocks were positively stained respectively in total tissue blocks provided from 57 prodromal PD patients. And there were 46 (46.94%) and 25 (25.51%) positive staining in 98 tissue blocks from the control group. In 18 tissue blocks collected from 18 PD patients, there were 19 (61.29%) and 15 (48.39%) tissue blocks with the a/p- $\alpha$ -syn positive staining. Comparison with non-PD patients from the control group, the a- $\alpha$ -syn positive rate was not significantly different in the pre- and post-diagnosis PD patients ( $p>0.05$ ). However, p- $\alpha$ -syn of both groups increased significantly ( $p<0.05$ ). Also, the positive expression rate of a/p- $\alpha$ -syn in the 10-20-year group was lower than that in the 1-10-year and 11-20-year, and the positive rate of the 11-20-year group was the highest ( $p<0.05$ ).

**CONCLUSIONS:** p- $\alpha$ -syn as the main component of Lewy body of nervous system in the gastrointestinal track may be used as a characteristic predictive marker of PD and the prevention of PD disease.

**Key Words:** Prodromal PD, gastrointestinal tissue,  $\alpha$ -Synuclein, Immunohistochemistry

## Introduction

Parkinson disease (PD) is a common neurodegenerative disease worldwide. The main clinical manifestations of PD include resting tremor, bradykinesia, rigidity and postural gait disorders and other conventional motor symptoms<sup>1</sup>. Also, many PD patients have non-motor symptoms including REM sleep behavior disorder, hyposmia, constipation and orthostatic hypotension, etc.<sup>3,4</sup>. It was found that the degeneration of dopaminergic neurons of the substantia nigra and the presence of Lewy body were the pathological signs of PD. Lewy Body contains high-density of aggregated  $\alpha$ -synuclein (a- $\alpha$ -syn) and phosphorylated  $\alpha$ -synuclein (p- $\alpha$ -syn)<sup>5</sup>. The study hypothesized that there is an unknown pathogen that may cause a physiological error folding in the gastrointestinal tract of the  $\alpha$ -synuclein ( $\alpha$ -syn) in the peripheral nervous system. The retrograde axon then passes through the vagus nerve to the dorsal motor nucleus of the vagus nerve, thereby causing damage to the brain tissues, including the black body<sup>6</sup>. Researches<sup>7</sup> recently discovered that the vagus nerve stem cutting operation can reduce the risk of PD, verifying the previous hypothesis. Therefore, the study of the regional pathology of Lewy Body (LB) nerve endings has become a hotspot in the field of PD research, and with the accelerated process of PD pathophysiology, this is helpful for us to demonstrate the feasibility of using PD tissue samples *in vitro* for the diagnosis of prodromal symptoms.

**Table I.** Comparison of gender, age and tissue mass data in patients.

Index	Prodromal PD	PD	Control
Number of patients	39	18	90
Number of tissue	67	31a	98
Gender (m/f)	24/15	9/9	47/43
Mean age at diagnosis	70.53±13.12	65.15±15.47	-
Mean age at biopsy	63.34±10.44	68.73±11.42	65.22±8.13
a- $\alpha$ -syn			
Positive tissue	35	19	46
Mean age at biopsy	62.12±14.42	69.26±13.72	66.32±12.56
Negative tissue	32	12	
Mean age at biopsy	64.53±13.17	68.07±10.45	64.52±12.64
p- $\alpha$ -syn			
Positive tissue	30	15	25
Mean age at biopsy	63.40±11.92	69.02±13.85	64±10.6
Negative tissue	37	16	73
Mean age at biopsy	63.12±13.81	68.50±11.00	65.22±8.13

Note: a, 9 out of 31 tissues were from the 5 patients which were performed biopsy at prodromal stages.

Decades ago, it was found that the LB exists in the enteric nervous system of PD and non-PD patients by hematoxylin and eosin staining<sup>8</sup>. Recently, the immunohistochemical studies<sup>9</sup> have confirmed that the LB can exist at the gastrointestinal tissue sites of typical PD patients. According to the reference, the researchers have detected the presence of LB in the gastrointestinal tract of the PD patients<sup>10,11</sup>. However, the potential problems in the development of  $\alpha$ -syn histology are different samples used by different immunohistochemical methods and the difference of assessment criteria of  $\alpha$ -syn positive. By comparing with the normal control group, the significant difference in the number of positive biopsies of  $\alpha$ -syn (100%) is perhaps a more reliable test standard. This study has two main objectives: 1- to evaluate the status of the intestinal nervous system of prodromal patients with PD in the prodromal stage has Lewy pathology; 2- the relative difference between the positive rates of a- $\alpha$ -syn and p- $\alpha$ -syn in gastrointestinal tissues of PD and non-PD patients control group.

## Patients and Methods

### Study samples

98 patients enrolled in this study were enrolled during January 2013 to January 2015 from the Second Affiliated Hospital of Soochow University (Soochow, Jiangsu, China). A total of 98 paraffin embedded tissue blocks was collected from structure biopsy or the excess parts of surgical removal (including 67 tissue blocks of the prodromal stage). All PD patients in this study were diagnosed as having a severe motor impairment. Tissue blocks of 39 patients had

been surgically removed at prodromal stage (5 patients) and surgical resection after diagnosis of PD. The first surgical removals of tissue blocks were performed for the rest 18 patients after diagnosed PD.

### Control samples

98 tissue samples for the control group were collected from 90 non-PD patients. The patients in the control group had the same age, sex and type of tissue with the PD patients in study group.

### Ethical materials

All the experiments were approved by the Ethics Committee of the Second Affiliated Hospital of Soochow University, and the isolated tissue blocks, which were surgically removed from the patients, were only used in this study. Patients or their family members have signed informed consent.

### Comparison of gender, age and tissue mass data in patients

Patient demographics and tissue block information were listed in Table I.

Also, according to the basic information of patients, we divided the patients into 0-5-year group, 6-10-year group, and 11-20-year group. We summarized the distribution of a/p- $\alpha$ -syn positive and negative in 67 prodromal tissue blocks of PD patients.

### Treatments of tissue samples

Tissue blocks were fixed in buffered formalin, then numbered and filed. From each tissue, slices of 3  $\mu$ m thickness were cut-out and stained with hematoxylin and eosin staining (Abcam Co., Ltd.,

**Table II.** Distribution of a/p- $\alpha$ -syn positive staining location of Prodromal PD, PD and control group.

Location	Prodromal PD (n=39)			PD (n=18)			Control (n=90)		
	N	a- $\alpha$ -syn	p- $\alpha$ -syn	N	a- $\alpha$ -syn	p- $\alpha$ -syn	N	a- $\alpha$ -syn	p- $\alpha$ -syn
Nasal area	2	0	0	1	1	1	3	0	0
Oral area	5	3	1	3	0	0	8	1	2
Salivary glands	3	2	3	1	1	0	4	2	1
Esophagus	16	3	2	7	2	4	23	7	2
Stomach	21	10	9	10	7	4	31	18	5
Small intestine	7	6	6	1	1	1	8	7	5
Appendix	5	5	5	3	3	2	8	8	5
Colon	8	6	4	5	4	3	13	5	5
Total	67	35	30	31	19	15	90	46	25

Bristol, UK), and verified the anatomic locations by standard histopathological evaluation. Tissue blocks had to be excluded if contained abnormal tissues, and other sections of the tissue blocks were used for immunohistochemical staining.

#### Immunohistochemical methods

The brain tissues of this study were derived from the late PD patients with typical Lewy pathological features and other patients who died from other causes of neurological disorders. The tissues were provided by the Department of Pathology of the Second Affiliated Hospital of Soochow University, Suzhou, Jiangsu, China). In the pilot experiment of this study, the ranges of corresponding specific antibody (Abcam Co., Ltd., Bristol, UK) concentrations which according to a- $\alpha$ -syn/p- $\alpha$ -syn in brain tissue samples were 1:40 ~ 1:100 and 1:100 ~ 1:6000, respectively. When the antibody was diluted with 1:1000, the staining of LB was reduced, but the staining of other brain tissues was not detected. a- $\alpha$ -syn and p- $\alpha$ -syn were applied in series for 8 min by using the protease and citric acid buffer was carried out for 24 min. antigen repair at 95°C. The anti-a- $\alpha$ -syn/p- $\alpha$ -syn rabbit monoclonal antibody were 1:200 dilutions, and incubated at 37°C for 32 min.

The second generation of ready-to-use immunohistochemical kit Elivision Plus broad spectrum (Fuzhou, China) was used and stained the first antibody in strict accordance with the instructions. Phosphate buffered saline (PBS) buffer instead of the first antibody was as a negative control. The brain tissues from deceased PD patients with no pathology were considered as positive control samples, and the brain tissues from deceased non-PD patients as negative controls. Different markers of immunohistochemistry staining of adjacent parallel sections, including CD34

(endothelial cells), CD68 (macrophages), CD56 (autophagy), lymphocytes and neural tissues), CD117 (Giant stem cells) and prominent vesicular proteins (nerve cells) were used to analyze the reactivity and orientation of the  $\alpha$ -syn staining.

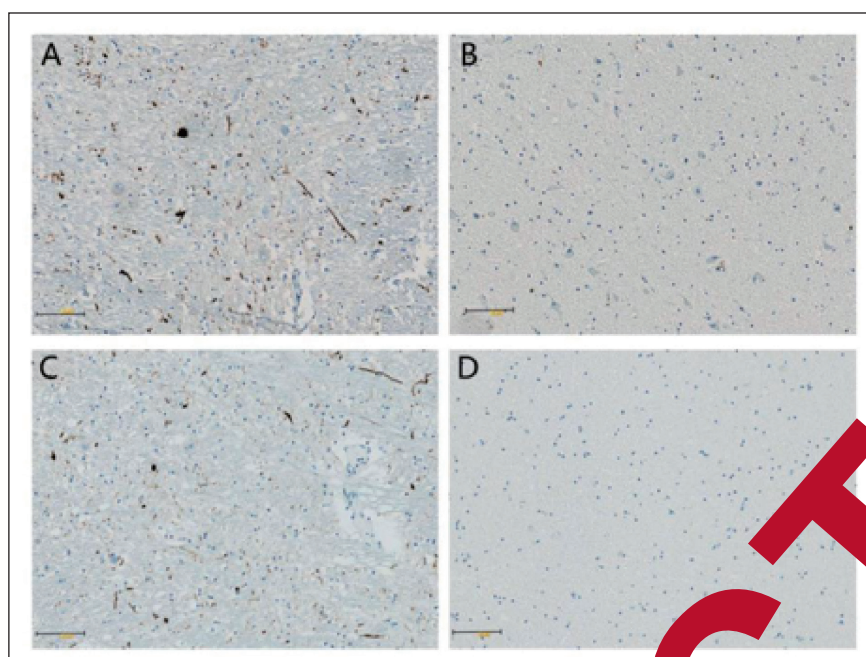
#### Statistical Analysis

The SPSS19.0 software (SPSS Inc., Chicago, IL, USA) was used for the statistical analysis. A  $\chi^2$  test was used to analyze the categorical variables in the Table. Multivariate analysis was conducted by using logistic regression. Differences with a value of  $p < 0.05$  were considered statistically significant.

## Results

#### Positive staining and evaluation results

We performed a double blind, clinical classification of stained sections. The positive brain tissue control group showed the expected strong reactivity, linear Lewy neurite and larger circular LB separation staining (Figure 1). In some cases, non-specific staining was found when the surrounding tissue samples were stained. Additional dilution studies were carried out from the surrounding tissues of the selected samples. The studies confirmed that the non-specific staining of the peripheral tissues of the samples and the neural tissues can be distinguished. When there was a clear and strong immunohistochemical staining signal, the signal was identified as positive reaction. In the Lewy neurite, the nerve plexus or the dense granular staining was used as the positive lower limit of the  $\alpha$ -syn (Figures 2-3). According to the results and our consensus, we determined the presence of a- $\alpha$ -syn/p- $\alpha$ -syn pathology.



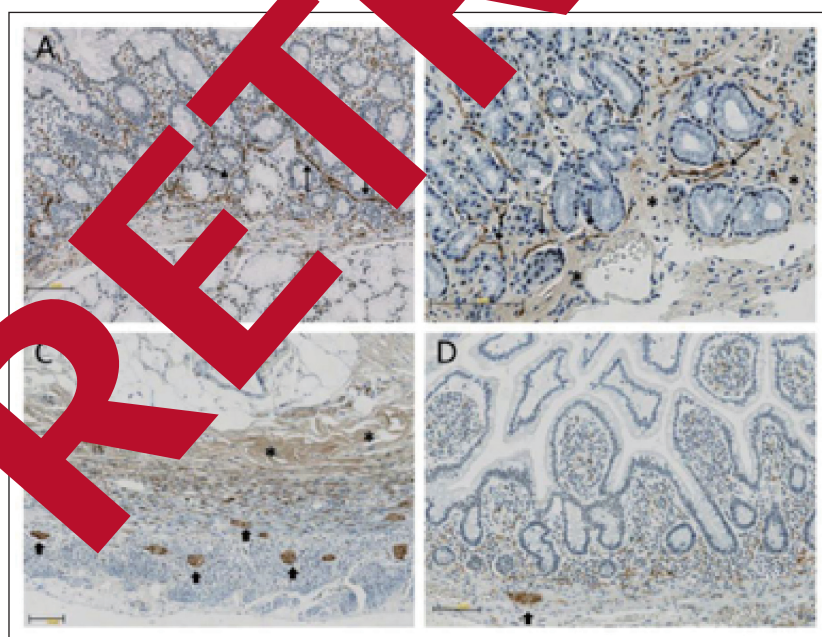
**Figure 1.** Typical staining of brain tissue sections. Note: Immunohistochemical staining of a- $\alpha$ -syn and p- $\alpha$ -syn of brain tissue sections of late PD patients (**A, C**) and late non-PD patients (**B, D**). PD patients had linear Lewy neurites and round neurons with positive brown staining (**A, C**). The negative control group did not have phenomenon of immune staining (**B, D**). Scale=100  $\mu$ m.

**Comparison of positive rates of a/p- $\alpha$ -syn in different parts of prodromal PD, PD and control group**

The immune response is located in the mucosa, submucosal ganglion, muscle nerve fiber and intramuscular ganglion. The positive staining was located in the same parts of the PD group and the control group. Although the staining reactions appeared in the muscular layer of some tissues, the most significant positive staining was

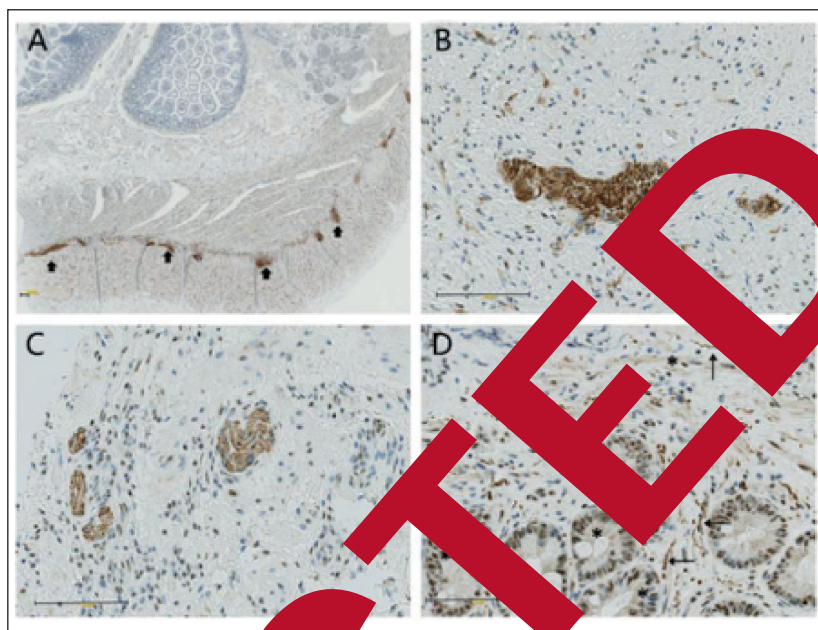
found in the area of intestinal and submucosal ganglion (Figure 1). Also, some p- $\alpha$ -syn staining of PD patients and control group were found that non-neuronal non-specific staining was discovered in the epithelial layer of gastric biopsy. This type of immune response was easy to be distinguished from normal cranial nerve structure, and was not classified as positive  $\alpha$ -syn staining.

According to the immunohistochemical staining for a- $\alpha$ -syn, we found that 24 (35 tissue



**Figure 2.** Typical staining of gastrointestinal tract samples (1). Note: Immunohistochemical staining of a- $\alpha$ -syn in gastrointestinal tract. **A**, Duodenum of controls; **B**, Pylorus in prodromal PD patients; **C**, Appendix of controls; **D**, Small intestine of PD patients. The thin and thick arrows represent the neurite and the nerve plexus. The asterisk represents nonspecific amplification in non nerve tissue. Scale=100  $\mu$ m.

**Figure 3.** Typical staining of gastrointestinal tract samples (2). Note: Immunohistochemical staining of p- $\alpha$ -syn in gastrointestinal tract. **A**, Intestinal tissues of PD patients; **B**, Nerve plexus of appendix in controls; **C**, Gastric nerves of PD patients; **D**, Esophagus of PD patients. The thin and thick arrows represent the neurite and the nerve plexus. The asterisk represents nonspecific amplification in non nerve tissue. Scale=100  $\mu$ m.



blocks) of 39 (67 tissue blocks) prodromal PD patients had a positive stain, the proportion was 61.54% (52.23%). 43 (46 tissue blocks) of 90 (98 tissue blocks) patients in the control group had a- $\alpha$ -syn positive stain, with proportion of 46.94% (46.94%). In 31 tissue blocks of 18 PD patients after diagnosis, there were 11 (19 tissue blocks) PD patients had a- $\alpha$ -syn positive stain, with proportion of 61.11% (61.29%). Compared to the control group, the increase of a- $\alpha$ -syn positive rate in patients at prodromal stage and after diagnosis was not significant ( $p > 0.05$ ).

According to the immunohistochemical staining for p- $\alpha$ -syn, we found that 22 (25 tissue blocks) of 39 (67 tissue blocks) prodromal PD patients had a positive stain, with proportion of 56.41% (44.78%). In the control group, 23 (25 tissue blocks) of 90 (98 tissue blocks) patients had a- $\alpha$ -syn positive stain, with a proportion of 25.56% (25.51%). There were 9 (15 tissue blocks) cases of p- $\alpha$ -syn positive stain in total 31 tissue blocks from 18 PD patients, the proportion was 50.00% (48.39%). Compared to the control group, the increase of p- $\alpha$ -syn positive rate in patients at prodromal stage and after diagnosis was significant ( $p < 0.05$ ). The distribution of p- $\alpha$ -syn positive stain locations of the groups was shown as Table II.

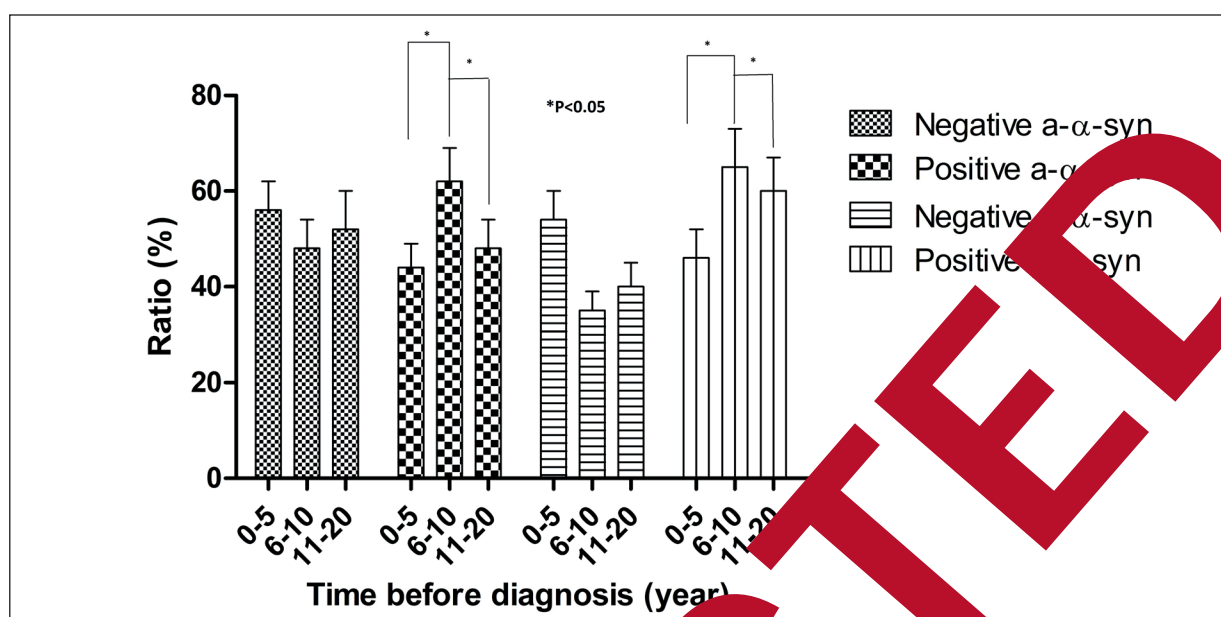
#### Comparison of the time distribution of positive diagnosis of a/p- $\alpha$ -syn

The distribution of positive/negative diagnosis time was shown as Figure 4. The positive expression rate of a- $\alpha$ -syn and p- $\alpha$ -syn in 6-10-year

group was lower than that in 0-5-year group and 11-20-year group, and the 0-5-year group was the highest ( $p < 0.05$ ).

## Discussion

According to a series experiments of brain tissue samples, this study confirmed that the pathological aggregation of  $\alpha$ -syn in different gastrointestinal sites can be detected in the 20 years before the development of clinical symptoms of PD. Through the immunohistochemical stain of a- $\alpha$ -syn and p- $\alpha$ -syn, we found that when only p- $\alpha$ -syn staining was performed, the numbers of positive staining from prodromal PD biopsy samples were significantly higher in comparison with the control group. The previous study<sup>12</sup> suggested that, by study of gastrointestinal tissues of prodromal PD patients, 3 cases of 33 patients (9%) had p- $\alpha$ -syn positive reaction. By contrast, there were 22 of 39 (56.41%) cases of prodromal PD patients had p- $\alpha$ -syn positive staining in this study. Even though the two studies had the similar design and staining of p- $\alpha$ -syn, results showed significant differences. The difference of results can be affected by various factors which including different tissue types, different immunohistochemical staining methods, different pretreatment methods of tissue samples and the differences in the evaluation criteria of  $\alpha$ -syn positive staining. It was extremely imperative that all tissue samples, which were detected in



**Figure 4.** Distribution of positive/negative tissue blocks at prodromal a- $\alpha$ -syn and p- $\alpha$ -syn. Note: The time intervals before diagnosis of PD were 0-5 year (n=29), 6-10 year (n=23), 11-20 year (n=15).

this study, were not entirely based on clinical diagnosis, but rather focused on the experimental results. Despite what type of immunohistochemistry method and the positive staining evaluation criteria was used, we found that there was a large amount of p- $\alpha$ -syn accumulation in the gastrointestinal tract of prodromal PD patients. Most of the previous works mainly focused on the clinical diagnosis of PD patients, and the tissue samples were taken from the same or multiple parts of each patient. These studies may be adopted different approaches, leading to contradictory conclusions. To exclude the methodological differences, we selected one pathology sheet from each tissue. Although we had a slightly lower positive rate of  $\alpha$ -syn in patients, the results obtained from the prodromal PD patients were consistent with the previous research results. Physiological  $\alpha$ -syn, which plays a protective role in the protection of nerve cells, has a variety of functions in the central nervous system and enteric nervous system<sup>13</sup>. In the study of normal people, it was found that  $\alpha$ -syn can be detected in about 10-12% in the brain of the people over the age of 60, which suggested that the LB was possibly the outcome of the change of  $\alpha$ -syn with the increase of age. There was no significant clinical significance for Parkinson's disease, such condition was incidental DLB (dementia with LB)<sup>14</sup>. Also,  $\alpha$ -syn may be

involved in the deposition of the nervous system in a variety of neurodegenerative diseases, such as Alzheimer disease and multiple system atrophy<sup>15</sup>. According to the 233 community sources found at autopsy, 24.9% individuals with  $\alpha$ -syn positive, of which 8% individuals was suffering from AD, 2% individuals suffering from multiple system atrophy, and 1% individuals with atypical  $\alpha$ -syn protein disease<sup>16</sup>. However, the pathologic  $\alpha$ -syn polymerization was involved in various neurodegenerative diseases including PD<sup>17</sup>. Therefore, it was very important to distinguish between physiological and non-physiological  $\alpha$ -syn (a- $\alpha$ -syn/p- $\alpha$ -syn) by immunohistochemical detection of  $\alpha$ -syn.

Through the detection of a variety of  $\alpha$ -syn antibodies, previous researchers have found that the positive rates of cerebral Lewy pathology were similar, but the non-specific staining was common. The sensitivity and specificity of antibodies, and the different types of pretreatment of tissue samples may also influence the final results of immunohistochemical staining. The detection rate of Lewy neurite was increased 25 times after pretreatment of brain tissue with proteinase K<sup>18</sup>. Also, compared with the non-physiological  $\alpha$ -syn polymer, Proteinase K can decrease the expression of  $\alpha$ -syn in physiological monomer, but when the  $\alpha$ -syn fibrosis or phosphorylation can resist the effect of protease K<sup>19</sup>. Only low polymerization state  $\alpha$ -syn with moderate degree of sensitiv-

ity to K protease maybe the precursor of fibrosis dense LB, and the LB contains high-density p- $\alpha$ -syn<sup>20</sup>. We used protease pretreatment combined with conventional  $\alpha$ -syn and p- $\alpha$ -syn antibodies, to visualize the pathology of Lewy pathology. This binding mode showed excellent sensitivity and specificity of antibodies against brain tissue samples from PD patients and non-PD controls (Figure 1). Gastrointestinal dysfunction is very common in PD patients. Such symptom may be related to the presence of Lewy pathology in the gastrointestinal tract. Through the studies of PD patients with positive Lewy pathology, we found that dysfunction of dopaminergic neurons and decreased function of the submucosal ganglion in the intestinal mucosa were common in these patients. In contrast, some studies have reported that the number of neurons in the colon tissue of Lewy patients with positive PD has not changed. Some works<sup>21,22</sup> have suggested that the number of ganglion neurons or neurons in the colon of PD patients with positive Lewy pathology has not changed. However, it should be noted that the quantification of enteric nervous system is difficult, and often produce highly variable results. The gastrointestinal dysfunction in PD patients may be due to the presence of  $\alpha$ -syn in presynaptic terminals, because of prominent functional damage. Besides, cell loss and Lewy pathology were found to be present in the dorsal vagal nucleus of the majority of PD patients, suggesting that the parasympathetic controlled the intestinal function. This was further confirmed by positron emission tomography studies. Compared with the control group, the intestinal acetylcholinesterase activity in patients with early PD was significantly decreased.

In this study, we performed immunohistochemical staining in one pathological slice from each tissue block. In control group, more tissue samples were normal in histological examination. Previous studies<sup>23</sup> have reported that pathological tissue samples did not show a higher acetylcholinesterase activity. There were differences comparing with the results of our study, because we used the formalin fixation and paraffin embedding technique in these studies. Therefore, it is not appropriate to use immunofluorescence double staining to provide a better verification method for co-location. In Figure 4, it showed that the positive rate of a/p- $\alpha$ -syn tissue samples in different time group was close to the clinical diagnosis. However, uneven distribution of tissue types in 3 different groups may cause deviation.

6-10-year group contained a large proportion of esophageal samples, it was also often diagnosed as  $\alpha$ -syn negative. This may explain why the positive expression rate of tissue samples of 6-10-year group was lower than compared with the 11-20-year group. Previous studies have shown that esophageal tissue has a high positive rate. However, these studies used the autopsy samples, and our samples were derived from superficial biopsy and surgical resection.

## Conclusion

We used immunohistochemical staining to detect the pathological changes of gastrointestinal tract system in the PD patients. The positive staining rate of p- $\alpha$ -syn in the prodromal stage was significantly higher than that in non-PD tissues, and it was concluded that the p- $\alpha$ -syn, as the main component of  $\alpha$ -syn, can be used as a characteristic predictive marker for PD.

## Conflict of interest

The authors declare that they have no conflict of interests.

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